Finance Department

John F. Adams
Treasurer

Operating Portfolio Investment
Portfolio Management
Portfolio Summary
November 30, 2016

City of Thousand Oaks
2100 Thousand Oaks Blvd.
Thousand Oaks, CA 91362
(805)449-2200

Investments	Par Value	Market Value	Book Value	% of Portfolio	Term	Days to Maturity	YTM 365 Equiv.
Ventura County Treasury Pool	5,038,617.29	5,038,617.29	5,038,617.29	2.11	1	1	0.730
Money Market Funds	7,846,804.13	7,846,804.13	7,846,804.13	3.28	1	1	0.250
State Local Agency Investment Fund	34,375,358.91	34,375,358.91	34,375,358.91	14.37	1	1	0.678
Collateralized CDs	22,000,000.00	22,000,000.00	22,000,000.00	9.20	833	310	0.874
Supranationals	18,000,000.00	17,917,640.00	18,154,180.00	7.59	1,269	1,065	1.231
Municipal Bonds - Taxable	42,605,000.00	42,611,075.40	42,924,718.55	17.95	1,499	907	1.541
Federal Agency Coupon Securities	103,450,000.00	102,646,581.50	103,803,620.00	43.41	1,325	961	1.185
Federal Agency Step-Up Securities	5,000,000.00	4,999,230.00	5,000,000.00	2.09	1,680	1,162	1.000
Investments	**238,315,780.33**	**237,435,307.23**	**239,143,298.88**	**100.00%**	**1,052**	**714**	**1.107**
Cash and Accrued Interest							
Accrued Interest at Purchase		26,535.07	26,535.07				
Subtotal		26,535.07	26,535.07				
Total Cash and Investments	**238,315,780.33**	**237,461,842.30**	**239,169,833.95**		**1,052**	**714**	**1.107**

Total Earnings	November 30 Month Ending	Fiscal Year To Date
Current Year	232,318.28	1,090,396.47

Investments have been made in compliance with State Laws governing the investment of City funds and in accordance with the City's Investment Policy. Par value is the value of the investment at maturity and market value is the value provided by Bank of New York Trust Company, N.A.. for: Federal Agency Coupon and Discount Securities, Treasury Coupon and Discount Securities, Municipal Bonds, Supranationals, Bankers' Acceptances, and Discounted Commercial Paper as of the date of this report. The Collateralized Certificates of Deposit are non-negotiable and therefore both the par value and the market value are the value of the investment at maturity. The market values of the State Local Investment Agency, Money Market Funds, and the Ventura County Treasury Pool are based on their monthly statements. The Yield to Maturity reported for Federal Agency Callable Step-Up Coupon Securities is based on the actual coupon(s) as securities may be called prior to all coupon increases.

Based on analysis of cash receipts, expenditures, and daily cash flows, it is projected that revenues and investment liquidity are sufficient to meet expenditure requirements for the next six months.

12/19/16

JOHN F. ADAMS, TREASURER

Reporting period 11/01/2016-11/30/2016
Data Updated: SET_200: 12/15/2016 11:58
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Operating Portfolio Investment
Portfolio Management
Portfolio Details - Investments
November 30, 2016

CUSIP	Investment #	Issuer	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	S&P	YTM 365	Days to Maturity	Maturity Date
Ventura County Treasury Pool											
SYS50831	50831	Ventura County Treasury Pool		5,038,617.29	5,038,617.29	5,038,617.29	0.730	AAA	0.730	1	
		Subtotal and Average		**5,038,617.29**	**5,038,617.29**	**5,038,617.29**			**0.730**	**1**	
Money Market Funds											
94975P405	4091	Wells Fargo Sweep		7,846,804.13	7,846,804.13	7,846,804.13	0.250	AAA	0.250	1	
		Subtotal and Average		**7,846,804.13**	**7,846,804.13**	**7,846,804.13**			**0.250**	**1**	
State Local Agency Investment Fund											
SYS2541	2541	Local Agency Investment Fund		34,375,358.91	34,375,358.91	34,375,358.91	0.678		0.678	1	
		Subtotal and Average		**34,375,358.91**	**34,375,358.91**	**34,375,358.91**			**0.678**	**1**	
Collateralized CDs											
307000621-1	50840	Community Bank	02/03/2015	3,000,000.00	3,000,000.00	3,000,000.00	0.700		0.710	68	02/07/2017
307001296-1	50853	Community Bank	04/07/2015	3,000,000.00	3,000,000.00	3,000,000.00	1.080		1.095	495	04/10/2018
307000443-3	50858	Community Bank	05/05/2015	2,000,000.00	2,000,000.00	2,000,000.00	0.750		0.760	159	05/09/2017
307001334-1	50862	Community Bank	05/12/2015	2,000,000.00	2,000,000.00	2,000,000.00	0.750		0.760	166	05/16/2017
307000001-1	50870	Community Bank	06/02/2015	2,000,000.00	2,000,000.00	2,000,000.00	0.750		0.760	187	06/06/2017
307000028-3	50875	Community Bank	06/23/2015	2,000,000.00	2,000,000.00	2,000,000.00	0.750		0.760	208	06/27/2017
30346634-4	50961	Community Bank	10/31/2016	2,000,000.00	2,000,000.00	2,000,000.00	1.000		1.014	699	10/31/2018
0307000729-2	50963	Community Bank	11/08/2016	2,000,000.00	2,000,000.00	2,000,000.00	1.000		1.014	707	11/08/2018
100077405882	50800	JPMorgan Chase Bank, N.A.	03/03/2014	3,000,000.00	3,000,000.00	3,000,000.00	0.960		0.973	92	03/03/2017
5888607682	50937	River City Bank	07/14/2016	1,000,000.00	1,000,000.00	1,000,000.00	0.750		0.750	593	07/17/2018
		Subtotal and Average		**22,000,000.00**	**22,000,000.00**	**22,000,000.00**			**0.874**	**310**	
Supranationals											
4581X0CD8	50946	Inter-American Development Ban	08/10/2016	2,000,000.00	2,020,560.00	2,075,860.00	2.125	AAA	1.206	1,439	11/09/2020
4581X0CH9	50950	Inter-American Development Ban	09/02/2016	2,000,000.00	2,005,440.00	2,038,880.00	1.750	AAA	1.114	1,048	10/15/2019
4581X0CA4	50952	Inter-American Development Ban	09/12/2016	2,000,000.00	1,971,500.00	2,015,420.00	1.375	AAA	1.169	1,322	07/15/2020
4581X0CS5	50962	Inter-American Development Ban	11/03/2016	2,000,000.00	1,987,880.00	2,036,260.00	1.875	AAA	1.445	1,565	03/15/2021
45905URF3	50874	Int'l Bank Recon & Develop	06/17/2015	2,000,000.00	2,000,140.00	2,001,980.00	0.900	AAA	0.852	228	07/17/2017
459058EV1	50905	Int'l Bank Recon & Develop	02/24/2016	2,000,000.00	1,986,700.00	2,000,980.00	1.250	AAA	1.235	967	07/26/2019
459058EW9	50910	Int'l Bank Recon & Develop	03/17/2016	2,000,000.00	1,972,860.00	1,987,180.00	1.625	AAA	1.760	1,559	03/09/2021
459058EJ8	50913	Int'l Bank Recon & Develop	04/01/2016	2,000,000.00	1,990,980.00	1,997,620.00	1.000	AAA	1.055	561	06/15/2018
459058FC2	50915	Int'l Bank Recon & Develop	04/26/2016	2,000,000.00	1,981,580.00	2,000,000.00	1.250	AAA	1.250	876	04/26/2019

Operating Portfolio Investment
Portfolio Management
Portfolio Details - Investments
November 30, 2016

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		Subtotal and Average		**18,000,000.00**	**17,917,640.00**	**18,154,180.00**			**1.231**	**1,065**	
Municipal Bonds - Taxable											
797669UG1	50746	San Francisco Bay Area Transit	10/04/2012	200,000.00	200,104.00	200,000.00	1.341	AA+	1.341	212	07/01/2017
13063CKL3	50818	California State	06/20/2014	3,000,000.00	3,037,800.00	3,019,950.00	2.250	AA-	2.105	881	05/01/2019
13063CPN4	50836	California State	11/25/2014	3,000,000.00	3,007,980.00	3,010,350.00	1.250	AA-	1.130	335	11/01/2017
13063CSQ4	50856	California State	04/29/2015	2,000,000.00	1,981,040.00	2,011,280.00	1.800	AA-	1.680	1,217	04/01/2020
13063CP87	50918	California State	04/28/2016	2,000,000.00	1,940,340.00	2,000,000.00	1.500	AA-	1.500	1,582	04/01/2021
13077CT20	50876	Cal State University Systemwid	08/05/2015	520,000.00	519,833.60	520,000.00	1.463	AA-	1.463	700	11/01/2018
13066YTY5	50953	CA Dept of Wtr Resources	09/28/2016	3,000,000.00	2,947,740.00	3,000,000.00	1.713	AA	1.713	1,612	05/01/2021
13067WJL7	50945	CA Dept of Water Resources	08/04/2016	1,925,000.00	1,936,877.25	1,996,475.25	2.237	AAA	1.350	1,461	12/01/2020
190335HC6	50948	Coast Community College Distri	08/31/2016	600,000.00	597,126.00	600,000.00	0.914	AA	0.914	608	08/01/2018
190335HF9	50949	Coast Community College Distri	08/31/2016	400,000.00	390,184.00	400,000.00	1.556	AA	1.556	1,704	08/01/2021
283309KL5	50812	El Monte CA City School Distri	06/12/2014	850,000.00	850,518.50	853,272.50	1.186	A+	1.050	151	05/01/2017
5446462R2	50825	Los Angeles Unified School Dis	08/19/2014	2,000,000.00	2,000,260.00	2,000,000.00	0.948	AA-	0.948	31	01/01/2017
562785LF0	50863	Manhattan Beach School Distric	05/15/2015	1,000,000.00	1,000,370.00	1,019,320.00	2.012	AA	1.545	1,004	09/01/2019
612574DS9	50766	Monterey Peninsula Com College	05/07/2013	2,690,000.00	2,695,030.30	2,690,000.00	1.391	AA-	1.391	243	08/01/2017
650264TD6	50909	Newark Unified School District	03/17/2016	2,000,000.00	2,021,960.00	2,054,940.00	2.427	AA-	1.771	1,339	08/01/2020
630360EJ0	50815	Napa Valley Comm College Dist	06/26/2014	2,000,000.00	2,014,300.00	2,000,000.00	1.776	AA-	1.776	608	08/01/2018
702282ND2	50807	Pasadena Unified School Distri	04/10/2014	1,940,000.00	1,955,849.80	1,946,828.80	1.861	A+	1.780	700	11/01/2018
697379UC7	50917	Palo Alto USD	04/21/2016	1,000,000.00	1,009,080.00	1,025,960.00	1.915	AAA	1.106	973	08/01/2019
697634ZZ9	50797	Palos Verdes Peninsula Unifed	03/11/2014	500,000.00	501,245.00	500,000.00	1.144	AA+	1.144	274	09/01/2017
697634A29	50798	Palos Verdes Peninsula Unifed	03/11/2014	675,000.00	682,276.50	675,000.00	1.772	AA+	1.772	639	09/01/2018
762494QY1	50849	Rialto Unified School District	03/26/2015	1,000,000.00	1,014,110.00	1,000,000.00	2.321	AA	2.321	973	08/01/2019
797646S72	50959	City and County of San Francis	11/01/2016	2,355,000.00	2,358,979.95	2,403,042.00	2.000	AA+	1.420	1,292	06/15/2020
83412PDW9	50802	Solano Community College Distr	04/08/2014	2,500,000.00	2,518,025.00	2,500,000.00	1.840	AA-	1.840	608	08/01/2018
91412GPY5	50763	University of California	03/14/2013	1,000,000.00	999,700.00	1,000,000.00	0.966	AA	0.966	165	05/15/2017
91412GWV3	50848	University of California	03/25/2015	450,000.00	453,955.50	450,000.00	2.003	AA	2.003	895	05/15/2019
91412GSB2	50877	University of California	08/07/2015	1,000,000.00	1,003,140.00	1,003,520.00	1.796	AA	1.702	942	07/01/2019
91412GD44	50914	University of California	04/20/2016	1,000,000.00	986,870.00	1,000,000.00	1.490	AA	1.490	1,261	05/15/2020
91412GF59	50944	University of California	08/04/2016	2,000,000.00	1,986,380.00	2,044,780.00	1.910	AA	1.424	1,626	05/15/2021
		Subtotal and Average		**42,605,000.00**	**42,611,075.40**	**42,924,718.55**			**1.541**	**907**	
Federal Agency Coupon Securities											
3133ECMM3	50770	Federal Farm Credit Bank	05/07/2013	3,000,000.00	2,999,550.00	2,998,200.00	0.600	AA+	0.615	145	04/25/2017
3133EDNC2	50814	Federal Farm Credit Bank	06/13/2014	3,000,000.00	3,001,170.00	3,000,000.00	0.700	AA+	0.700	43	01/13/2017
31331K7D6	50822	Federal Farm Credit Bank	07/16/2014	1,000,000.00	1,002,580.00	1,003,860.00	1.340	AA+	1.227	414	01/19/2018

Operating Portfolio Investment
Portfolio Management
Portfolio Details - Investments
November 30, 2016

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Federal Agency Coupon Securities											
3133EFKM8	50884	Federal Farm Credit Bank	11/03/2015	2,000,000.00	1,996,100.00	1,996,500.00	0.710	AA+	0.800	323	10/20/2017
3133EFD53	50904	Federal Farm Credit Bank	02/24/2016	2,000,000.00	1,966,260.00	2,000,000.00	1.590	AA+	1.590	1,362	08/24/2020
3133EFVQ7	50908	Federal Farm Credit Bank	03/15/2016	2,000,000.00	2,001,140.00	2,003,580.00	1.250	AA+	1.186	782	01/22/2019
3133EF2L0	50919	Federal Farm Credit Bank	04/21/2016	2,000,000.00	1,970,660.00	1,999,000.00	1.400	AA+	1.413	1,229	04/13/2020
3133EGBL8	50920	Federal Farm Credit Bank	05/19/2016	2,000,000.00	1,963,180.00	2,000,000.00	1.370	AA+	1.370	1,265	05/19/2020
3133EGCZ6	50924	Federal Farm Credit Bank	06/06/2016	2,000,000.00	1,993,040.00	2,000,000.00	1.300	AA+	1.300	917	06/06/2019
3133EGEU5	50925	Federal Farm Credit Bank	06/14/2016	2,000,000.00	1,973,760.00	2,000,000.00	1.540	AA+	1.540	1,474	12/14/2020
3133EF4Y0	50926	Federal Farm Credit Bank	06/09/2016	2,000,000.00	1,983,220.00	2,002,380.00	1.000	AA+	0.958	876	04/26/2019
3133EGFN0	50927	Federal Farm Credit Bank	06/15/2016	2,000,000.00	1,956,340.00	2,000,000.00	1.400	AA+	1.400	1,292	06/15/2020
3133EGEF8	50928	Federal Farm Credit Bank	06/15/2016	2,000,000.00	1,974,500.00	2,000,000.00	1.180	AA+	1.180	924	06/13/2019
3133EGEQ4	50933	Federal Farm Credit Bank	07/06/2016	2,000,000.00	1,990,280.00	2,008,480.00	0.875	AA+	0.654	559	06/13/2018
313383JB8	50782	Federal Home Loan Bank	06/27/2013	3,000,000.00	3,004,290.00	3,000,000.00	1.000	AA+	1.000	300	09/27/2017
3130A4KX9	50847	Federal Home Loan Bank	03/30/2015	2,000,000.00	2,004,540.00	2,000,000.00	1.800	AA+	1.800	1,215	03/30/2020
3130A5N47	50873	Federal Home Loan Bank	06/23/2015	2,000,000.00	1,998,220.00	2,000,000.00	1.100	AA+	1.100	477	03/23/2018
3130A5Z77	50903	Federal Home Loan Bank	02/18/2016	2,000,000.00	2,009,680.00	2,038,800.00	1.830	AA+	1.379	1,336	07/29/2020
313370US5	50906	Federal Home Loan Bank	03/11/2016	2,000,000.00	2,085,880.00	2,120,660.00	2.875	AA+	1.484	1,380	09/11/2020
3130A7HM0	50912	Federal Home Loan Bank	03/29/2016	2,000,000.00	1,997,340.00	2,000,000.00	1.250	AA+	1.250	848	03/29/2019
3130A8AE3	50923	Federal Home Loan Bank	05/26/2016	2,000,000.00	1,981,800.00	2,000,000.00	1.250	AA+	1.250	998	08/26/2019
3130A8FC2	50929	Federal Home Loan Bank	06/15/2016	2,000,000.00	1,947,680.00	2,000,000.00	1.620	AA+	1.620	1,656	06/14/2021
3130A8JZ7	50930	Federal Home Loan Bank	06/30/2016	2,000,000.00	1,971,980.00	2,000,000.00	1.220	AA+	1.220	1,124	12/30/2019
313378J77	50934	Federal Home Loan Bank	07/11/2016	2,000,000.00	2,023,380.00	2,068,440.00	1.875	AA+	0.925	1,198	03/13/2020
3130A8KR3	50940	Federal Home Loan Bank	07/22/2016	2,000,000.00	1,987,820.00	1,999,100.00	0.820	AA+	0.843	582	07/06/2018
313380FB8	50954	Federal Home Loan Bank	10/04/2016	2,000,000.00	1,995,160.00	2,020,520.00	1.375	AA+	1.020	1,016	09/13/2019
3130A9LV1	50956	Federal Home Loan Bank	10/06/2016	2,000,000.00	1,989,600.00	1,997,960.00	0.900	AA+	0.952	665	09/27/2018
3134G45U8	50775	Federal Home Loan Mortgage Cor	06/12/2013	3,000,000.00	2,997,180.00	3,000,000.00	0.850	AA+	0.850	376	12/12/2017
3134G6S95	50865	Federal Home Loan Mortgage Cor	06/17/2015	2,000,000.00	2,005,860.00	2,000,000.00	1.350	AA+	1.350	746	12/17/2018
3134G6Y23	50867	Federal Home Loan Mortgage Cor	06/12/2015	2,000,000.00	2,000,120.00	2,000,000.00	1.375	AA+	1.375	741	12/12/2018
3134G65U3	50872	Federal Home Loan Mortgage Cor	06/23/2015	2,000,000.00	1,997,820.00	2,000,000.00	1.100	AA+	1.100	477	03/23/2018
3134G8RA9	50907	Federal Home Loan Mortgage Cor	03/30/2016	2,000,000.00	1,980,660.00	2,000,000.00	1.500	AA+	1.500	1,307	06/30/2020
3134G3B82	50916	Federal Home Loan Mortgage Cor	04/19/2016	2,000,000.00	1,995,040.00	2,016,020.00	1.250	AA+	0.962	806	02/15/2019
3134G9E78	50931	Federal Home Loan Mortgage Cor	06/30/2016	2,000,000.00	1,940,360.00	2,000,000.00	1.280	AA+	1.280	1,399	09/30/2020
3134G9E52	50932	Federal Home Loan Mortgage Cor	06/30/2016	2,000,000.00	1,937,600.00	2,000,000.00	1.330	AA+	1.330	1,490	12/30/2020
3134G9P92	50936	Federal Home Loan Mortgage Cor	07/25/2016	2,000,000.00	1,986,020.00	2,000,000.00	1.000	AA+	1.000	785	01/25/2019
3134GARM8	50957	Federal Home Loan Mortgage Cor	10/11/2016	2,000,000.00	1,987,920.00	2,000,000.00	1.000	AA+	1.000	679	10/11/2018
3136G1DG1	50758	Federal National Mortgage Assc	02/27/2013	3,000,000.00	2,991,780.00	3,000,000.00	1.050	AA+	1.050	453	02/27/2018

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Portfolio Management
Portfolio Details - Investments
November 30, 2016

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Federal Agency Coupon Securities											
3136G2XG7	50900	Federal National Mortgage Assc	02/22/2016	2,000,000.00	1,989,020.00	2,000,000.00	1.160	AA+	1.160	813	02/22/2019
3136G2XN2	50901	Federal National Mortgage Assc	02/26/2016	2,000,000.00	1,961,200.00	2,000,000.00	1.600	AA+	1.600	1,546	02/24/2021
3136G1HX0	50921	Federal National Mortgage Assc	05/18/2016	2,000,000.00	2,003,980.00	2,020,280.00	1.375	AA+	1.014	846	03/27/2019
3136G3ZM0	50935	Federal National Mortgage Assc	07/28/2016	2,000,000.00	1,939,580.00	2,000,000.00	1.200	AA+	1.200	1,427	10/28/2020
3136G3S55	50941	Federal National Mortgage Assc	07/28/2016	2,000,000.00	1,935,900.00	2,000,000.00	1.520	AA+	1.520	1,700	07/28/2021
3136G3R49	50942	Federal National Mortgage Assc	07/27/2016	1,450,000.00	1,408,631.50	1,450,000.00	1.500	AA+	1.500	1,518	01/27/2021
3136G3N92	50943	Federal National Mortgage Assc	07/27/2016	2,000,000.00	1,931,320.00	2,000,000.00	1.350	AA+	1.350	1,699	07/27/2021
3136G32R5	50947	Federal National Mortgage Assc	08/25/2016	2,000,000.00	1,947,140.00	2,000,000.00	1.450	AA+	1.450	1,728	08/25/2021
3136G1B24	50951	Federal National Mortgage Assc	09/08/2016	2,000,000.00	1,999,160.00	2,029,780.00	1.500	AA+	1.050	1,149	01/24/2020
3136G4EC3	50955	Federal National Mortgage Assc	10/28/2016	2,000,000.00	1,939,960.00	2,000,000.00	1.550	AA+	1.550	1,792	10/28/2021
3135G0Q71	50958	Federal National Mortgage Assc	10/28/2016	2,000,000.00	1,980,860.00	1,999,800.00	1.250	AA+	1.253	1,061	10/28/2019
880591EQ1	50960	Tenn Valley Authority	10/28/2016	2,000,000.00	2,020,320.00	2,030,260.00	1.750	AA+	0.970	683	10/15/2018
		Subtotal and Average		**103,450,000.00**	**102,646,581.50**	**103,803,620.00**			**1.185**	**961**	
Federal Agency Step-Up Securities											
3134G6CT8	50841	Federal Home Loan Mortgage Cor	02/13/2015	3,000,000.00	2,999,670.00	3,000,000.00	1.000	AA+	1.000	1,169	02/13/2020
3134G8HB8	50898	Federal Home Loan Mortgage Cor	01/27/2016	2,000,000.00	1,999,560.00	2,000,000.00	1.000	AA+	1.000	1,152	01/27/2020
		Subtotal and Average		**5,000,000.00**	**4,999,230.00**	**5,000,000.00**			**1.000**	**1,162**	
		Total and Average		**238,315,780.33**	**237,435,307.23**	**239,143,298.88**			**1.107**	**714**	

Operating Portfolio Investment
Portfolio Management
Portfolio Details - Cash
November 30, 2016

CUSIP	Investment #	Issuer	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	S&P	YTM 365	Days to Maturity
		Subtotal and Average	Accrued Interest at Purchase		26,535.07	26,535.07				0
			Subtotal		26,535.07	26,535.07				
		Total Cash and Investments		**238,315,780.33**	**237,461,842.30**	**239,169,833.95**			**1.107**	**714**

Operating Portfolio Investment
Portfolio Management
Activity By Type
November 1, 2016 through November 30, 2016

CUSIP	Investment #	Issuer	Beginning Balance	Stated Rate	Transaction Date	Purchases or Deposits	Redemptions or Withdrawals	Ending Balance
Ventura County Treasury Pool (Monthly Summary)								
SYS50831	50831	Ventura County Treasury Pool		0.730		4,238.14	0.00	
		Subtotal	**5,034,379.15**			**4,238.14**	**0.00**	**5,038,617.29**
Money Market Funds (Monthly Summary)								
94975P405	4091	Wells Fargo Sweep		0.250		7,846,804.13	0.00	
		Subtotal	**0.00**			**7,846,804.13**	**0.00**	**7,846,804.13**
State Local Agency Investment Fund (Monthly Summary)								
SYS2541	2541	Local Agency Investment Fund		0.678		12,000,000.00	0.00	
		Subtotal	**22,375,358.91**			**12,000,000.00**	**0.00**	**34,375,358.91**
Collateralized CDs								
0307000729-1	50834	Community Bank		0.640	11/08/2016	0.00	2,000,000.00	
0307000729-2	50963	Community Bank		1.000	11/08/2016	2,000,000.00	0.00	
		Subtotal	**22,000,000.00**			**2,000,000.00**	**2,000,000.00**	**22,000,000.00**
Supranationals								
4581X0CS5	50962	Inter-American Development Ban		1.875	11/03/2016	2,036,260.00	0.00	
		Subtotal	**16,117,920.00**			**2,036,260.00**	**0.00**	**18,154,180.00**
Municipal Bonds - Taxable								
13063CFD7	50804	California State		1.250	11/01/2016	0.00	759,794.25	
492244DR6	50803	Kern Community College		0.946	11/01/2016	0.00	500,000.00	
797646S72	50959	City and County of San Francis		2.000	11/01/2016	2,403,042.00	0.00	
		Subtotal	**41,781,470.80**			**2,403,042.00**	**1,259,794.25**	**42,924,718.55**
Federal Agency Coupon Securities								
3133EFNT0	50885	Federal Farm Credit Bank		1.580	11/18/2016	0.00	2,000,000.00	
3134G43V8	50772	Federal Home Loan Mortgage Cor		1.050	11/15/2016	0.00	3,200,000.00	
		Subtotal	**109,003,620.00**			**0.00**	**5,200,000.00**	**103,803,620.00**
Federal Agency Step-Up Securities								
		Subtotal	**5,000,000.00**					**5,000,000.00**
		Total	**221,312,748.86**			**26,290,344.27**	**8,459,794.25**	**239,143,298.88**

Finance Department

John F. Adams
Treasurer

Operating Portfolio Investment
Summary by Issuer
November 30, 2016

City of Thousand Oaks
2100 Thousand Oaks Blvd.
Thousand Oaks, CA 91362
(805)449-2200

Issuer	Number of Investments	Par Value	Book Value	% of Portfolio	Average YTM 365	Average Days to Maturity
San Francisco Bay Area Transit	1	200,000.00	200,000.00	0.08	1.341	212
California State	4	10,000,000.00	10,041,580.00	4.20	1.607	924
Cal State University Systemwid	1	520,000.00	520,000.00	0.22	1.463	700
CA Dept of Wtr Resources	1	3,000,000.00	3,000,000.00	1.25	1.713	1,612
CA Dept of Water Resources	1	1,925,000.00	1,996,475.25	0.83	1.350	1,461
Community Bank	8	18,000,000.00	18,000,000.00	7.53	0.864	330
Coast Community College Distri	2	1,000,000.00	1,000,000.00	0.42	1.171	1,046
El Monte CA City School Distri	1	850,000.00	853,272.50	0.36	1.050	151
Federal Farm Credit Bank	14	29,000,000.00	29,012,000.00	12.13	1.102	793
Federal Home Loan Bank	13	27,000,000.00	27,245,480.00	11.39	1.211	962
Federal Home Loan Mortgage Cor	12	26,000,000.00	26,016,020.00	10.88	1.129	915
Federal National Mortgage Assc	12	24,450,000.00	24,499,860.00	10.24	1.293	1,271
Inter-American Development Ban	4	8,000,000.00	8,166,420.00	3.41	1.233	1,344
Int'l Bank Recon & Develop	5	10,000,000.00	9,987,760.00	4.18	1.230	837
JPMorgan Chase Bank, N.A.	1	3,000,000.00	3,000,000.00	1.25	0.973	92
Local Agency Investment Fund	1	34,375,358.91	34,375,358.91	14.37	0.678	1
Los Angeles Unified School Dis	1	2,000,000.00	2,000,000.00	0.84	0.948	31
Manhattan Beach School Distric	1	1,000,000.00	1,019,320.00	0.43	1.545	1,004
Monterey Peninsula Com College	1	2,690,000.00	2,690,000.00	1.12	1.391	243
Newark Unified School District	1	2,000,000.00	2,054,940.00	0.86	1.771	1,339
Napa Valley Comm College Dist	1	2,000,000.00	2,000,000.00	0.84	1.776	608
Pasadena Unified School Distri	1	1,940,000.00	1,946,828.80	0.81	1.780	700

Operating Portfolio Investment
Summary by Issuer
November 30, 2016

Issuer	Number of Investments	Par Value	Book Value	% of Portfolio	Average YTM 365	Average Days to Maturity
Palo Alto USD	1	1,000,000.00	1,025,960.00	0.43	1.106	973
Palos Verdes Peninsula Unifed	2	1,175,000.00	1,175,000.00	0.49	1.505	484
Rialto Unified School District	1	1,000,000.00	1,000,000.00	0.42	2.321	973
River City Bank	1	1,000,000.00	1,000,000.00	0.42	0.750	593
City and County of San Francis	1	2,355,000.00	2,403,042.00	1.00	1.420	1,292
Solano Community College Distr	1	2,500,000.00	2,500,000.00	1.05	1.840	608
Tenn Valley Authority	1	2,000,000.00	2,030,260.00	0.85	0.970	683
University of California	5	5,450,000.00	5,498,300.00	2.30	1.451	1,109
Ventura County Treasury Pool	1	5,038,617.29	5,038,617.29	2.11	0.730	1
Wells Fargo Sweep	1	7,846,804.13	7,846,804.13	3.28	0.250	1
Total and Average	**102**	**238,315,780.33**	**239,143,298.88**	**100.00**	**1.107**	**714**

Finance Department

John F. Adams
Treasurer

Operating Portfolio Investment
Summary by Type
November 30, 2016

City of Thousand Oaks
2100 Thousand Oaks Blvd.
Thousand Oaks, CA 91362
(805)449-2200

Security Type	Number of Investments	Par Value	Book Value	% of Portfolio	Average YTM 365	Average Days to Maturity
Ventura County Treasury Pool	1	5,038,617.29	5,038,617.29	2.11	0.730	1
Money Market Funds	1	7,846,804.13	7,846,804.13	3.28	0.250	1
State Local Agency Investment Fund	1	34,375,358.91	34,375,358.91	14.37	0.678	1
Collateralized CDs	10	22,000,000.00	22,000,000.00	9.20	0.874	310
Supranationals	9	18,000,000.00	18,154,180.00	7.59	1.231	1,065
Municipal Bonds - Taxable	28	42,605,000.00	42,924,718.55	17.95	1.541	907
Federal Agency Coupon Securities	50	103,450,000.00	103,803,620.00	43.41	1.185	961
Federal Agency Step-Up Securities	2	5,000,000.00	5,000,000.00	2.09	1.000	1,162
Total and Average	**102**	**238,315,780.33**	**239,143,298.88**	**100.00**	**1.107**	**714**

Data Updated: SET_200: 12/15/2016 11:58
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Portfolio CTI
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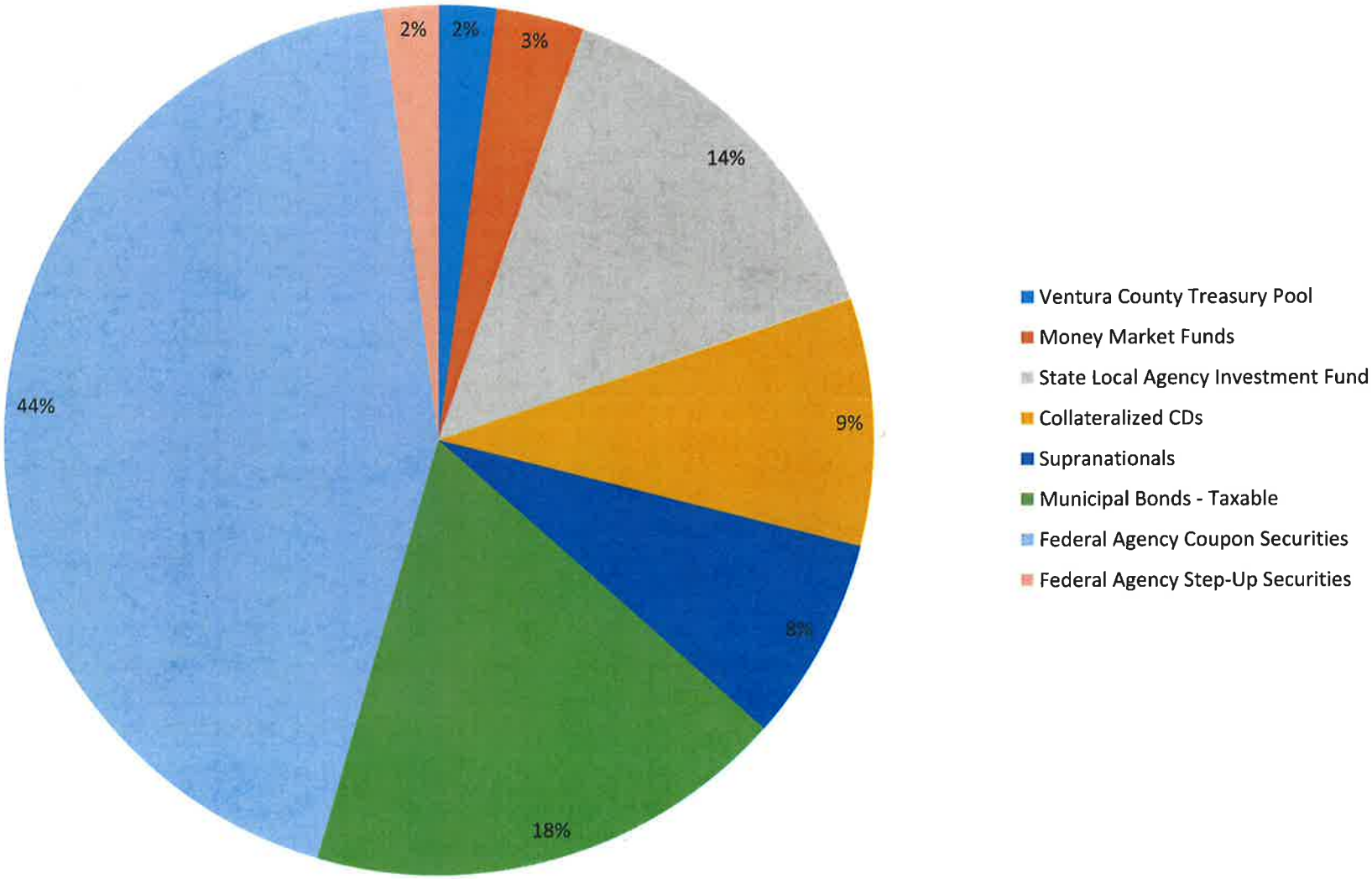
Report Ver. 7.3.6.1

Operating Portfolio Investment
Portfolio Management
Portfolio Summary

Book Value by Investment Type

City of Thousand Oaks
2100 Thousand Oaks Blvd.
Thousand Oaks, CA 91362
(805)449-2200



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Portfolio CTI
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Finance Department

John F. Adams
Treasurer

Aging
Aging Report
By Maturity Date
As of December 1, 2016

City of Thousand Oaks
2100 Thousand Oaks Blvd.
Thousand Oaks, CA 91362
(805)449-2200

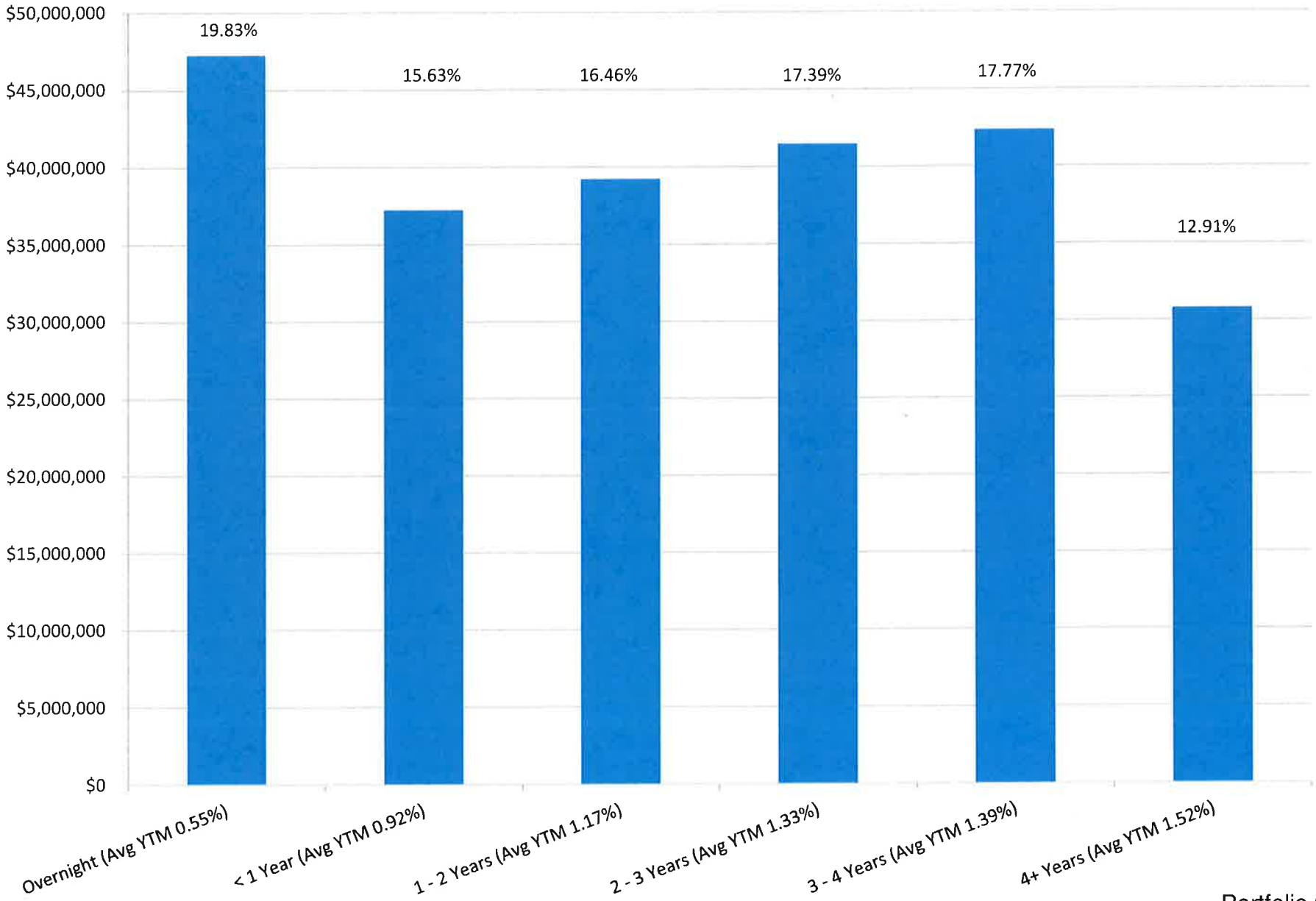
					Maturity Par Value	Percent of Portfolio	Current Book Value	Current Market Value
Aging Interval: 0 days	(12/01/2016 - 12/01/2016)	3 Maturities	0 Payments		47,260,780.33	19.83%	47,260,780.33	47,260,780.33
Aging Interval: 1 - 365 days	(12/02/2016 - 12/01/2017)	18 Maturities	0 Payments		37,240,000.00	15.63%	37,250,302.50	37,256,087.80
Aging Interval: 366 - 730 days	(12/02/2017 - 12/01/2018)	21 Maturities	0 Payments		39,235,000.00	16.46%	39,279,108.80	39,241,910.90
Aging Interval: 731 - 1095 days	(12/02/2018 - 12/01/2019)	23 Maturities	0 Payments		41,450,000.00	17.39%	41,621,190.00	41,379,275.50
Aging Interval: 1096 - 1460 days	(12/02/2019 - 11/30/2020)	21 Maturities	0 Payments		42,355,000.00	17.77%	42,817,222.00	42,151,799.95
Aging Interval: 1461 days and after	(12/01/2020 -)	16 Maturities	0 Payments		30,775,000.00	12.91%	30,914,695.25	30,145,452.75
	Total for	102 Investments	0 Payments			100.00	239,143,298.88	237,435,307.23

Data Updated: SET_500: 12/15/2016 15:51
Run Date: 12/15/2016 - 15:51

Portfolio CTI
NL! CP
AG (PRF_AG) 7.2.0
Report Ver. 7.3.6.1

City of Thousand Oaks
2100 Thousand Oaks Blvd.
Thousand Oaks, CA 91362
(805)449-2200

Investments within the Maturity Period



Portfolio CTI
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Finance Department

John F. Adams
Treasurer

Bond Investment Report
Portfolio Management
Portfolio Summary
November 30, 2016

City of Thousand Oaks
2100 Thousand Oaks Blvd.
Thousand Oaks, CA 91362
(805)449-2200

Investments	Par Value	Market Value	Book Value	% of Portfolio	Term	Days to Maturity	YTM 365 Equiv.
Money Market Funds	10,222.10	10,222.10	10,222.10	0.11	1	1	0.290
State Local Agency Investment Fund	9,182,023.68	9,182,023.68	9,182,023.68	99.89	1	1	0.678
Investments	**9,192,245.78**	**9,192,245.78**	**9,192,245.78**	**100.00%**	**1**	**1**	**0.678**

Total Earnings	November 30 Month Ending	Fiscal Year To Date
Current Year	5,705.68	27,613.05

Investments have been made in compliance with State Laws governing the investment of City funds and in accordance with the City's Investment Policy. Par value is the value of the investment at maturity and market value is the value provided by Bank of New York Trust Company, N.A., for: Federal Agency Coupon and Discount Securities, Treasury Coupon and Discount Securities, Municipal Bonds, Supranationals, Bankers' Acceptances, and Discounted Commercial Paper as of the date of this report. The Collateralized Certificates of Deposit are non-negotiable and therefore both the par value and the market value are the value of the investment at maturity. The market values of the State Local Investment Agency, Money Market Funds, and the Ventura County Treasury Pool are based on their monthly statements. The Yield to Maturity reported for Federal Agency Callable Step-Up Coupon Securities is based on the actual coupon(s) as securities may be called prior to all coupon increases.

Based on analysis of cash receipts, expenditures, and daily cash flows, it is projected that revenues and investment liquidity are sufficient to meet expenditure requirements for the next six months.

JOHN F. ADAMS, TREASURER

12/19/16

Reporting period 11/01/2016-11/30/2016
Data Updated: SET_100: 12/13/2016 11:02
Run Date: 12/13/2016 - 11:02

Portfolio CTI
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Report Ver. 7.3.6.1

Bond Investment Report
Portfolio Management
Portfolio Details - Investments
November 30, 2016

CUSIP	Investment #	Issuer	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	S&P	YTM 365	Days to Maturity	Maturity Date
Money Market Funds											
61747C707	50880-MR12JM	Morgan Stanley Govt. Instl		232.16	232.16	232.16	0.290	AAA	0.290	1	
61747C707	50889-BD4TBA	Morgan Stanley Govt. Instl		621.81	621.81	621.81	0.290	AAA	0.290	1	
61747C707	50891-BD2NRC	Morgan Stanley Govt. Instl		5,383.09	5,383.09	5,383.09	0.290	AAA	0.290	1	
61747C707	50892-BD2NRP	Morgan Stanley Govt. Instl		2,410.99	2,410.99	2,410.99	0.290	AAA	0.290	1	
61747C707	50893-BD3WWR	Morgan Stanley Govt. Instl		621.97	621.97	621.97	0.290	AAA	0.290	1	
61747C707	50894-BD7PFR	Morgan Stanley Govt. Instl		952.08	952.08	952.08	0.290	AAA	0.290	1	
		Subtotal and Average		**10,222.10**	**10,222.10**	**10,222.10**			**0.290**	**1**	
State Local Agency Investment Fund											
SYS50445-BD2NRC	50445-BD2NRC	Local Agency Investment Fund		2,622,970.22	2,622,970.22	2,622,970.22	0.678		0.678	1	
SYS50446-BD2NRP	50446-BD2NRP	Local Agency Investment Fund		1,252,629.25	1,252,629.25	1,252,629.25	0.678		0.678	1	
SYS50501-BD4TBA	50501-BD4TBA	Local Agency Investment Fund		888,000.00	888,000.00	888,000.00	0.678		0.678	1	
SYS50630-BD7PFR	50630-BD7PFR	Local Agency Investment Fund		1,166,000.00	1,166,000.00	1,166,000.00	0.678		0.678	1	
SYS50752-MR07JM	50752-MR07JM	Local Agency Investment Fund		1,997,517.43	1,997,517.43	1,997,517.43	0.678		0.678	1	
50794-BD3WWR	50794-BD3WWR	Local Agency Investment Fund		1,254,906.78	1,254,906.78	1,254,906.78	0.678		0.678	1	
		Subtotal and Average		**9,182,023.68**	**9,182,023.68**	**9,182,023.68**			**0.678**	**1**	
		Total and Average		**9,192,245.78**	**9,192,245.78**	**9,192,245.78**			**0.678**	**1**	

Bond Investment Report
Portfolio Management
Activity By Type
November 1, 2016 through November 30, 2016

CUSIP	Investment #	Issuer	Beginning Balance	Stated Rate	Transaction Date	Purchases or Deposits	Redemptions or Withdrawals	Ending Balance
Money Market Funds (Monthly Summary)								
61747C707	50880-MR12JM	Morgan Stanley Govt. Instl		0.290		0.04	0.00	
61747C707	50889-BD4TBA	Morgan Stanley Govt. Instl		0.290		783,050.67	1,490,203.74	
61747C707	50891-BD2NRC	Morgan Stanley Govt. Instl		0.290		1.34	0.00	
61747C707	50892-BD2NRP	Morgan Stanley Govt. Instl		0.290		0.60	0.00	
61747C707	50893-BD3WWR	Morgan Stanley Govt. Instl		0.290		0.15	0.00	
61747C707	50894-BD7PFR	Morgan Stanley Govt. Instl		0.290		3,362.31	3,363.38	
		Subtotal	**717,374.11**			**786,415.11**	**1,493,567.12**	**10,222.10**
State Local Agency Investment Fund (Monthly Summary)								
SYS50501-BD4TBA	50501-BD4TBA	Local Agency Investment Fund		0.678		0.00	782,874.50	
SYS50630-BD7PFR	50630-BD7PFR	Local Agency Investment Fund		0.678		0.00	3,362.07	
		Subtotal	**9,968,260.25**			**0.00**	**786,236.57**	**9,182,023.68**
		Total	**10,685,634.36**			**786,415.11**	**2,279,803.69**	**9,192,245.78**

Finance Department

John F. Adams
Treasurer

Bond Investment Report
Summary by Type
November 30, 2016

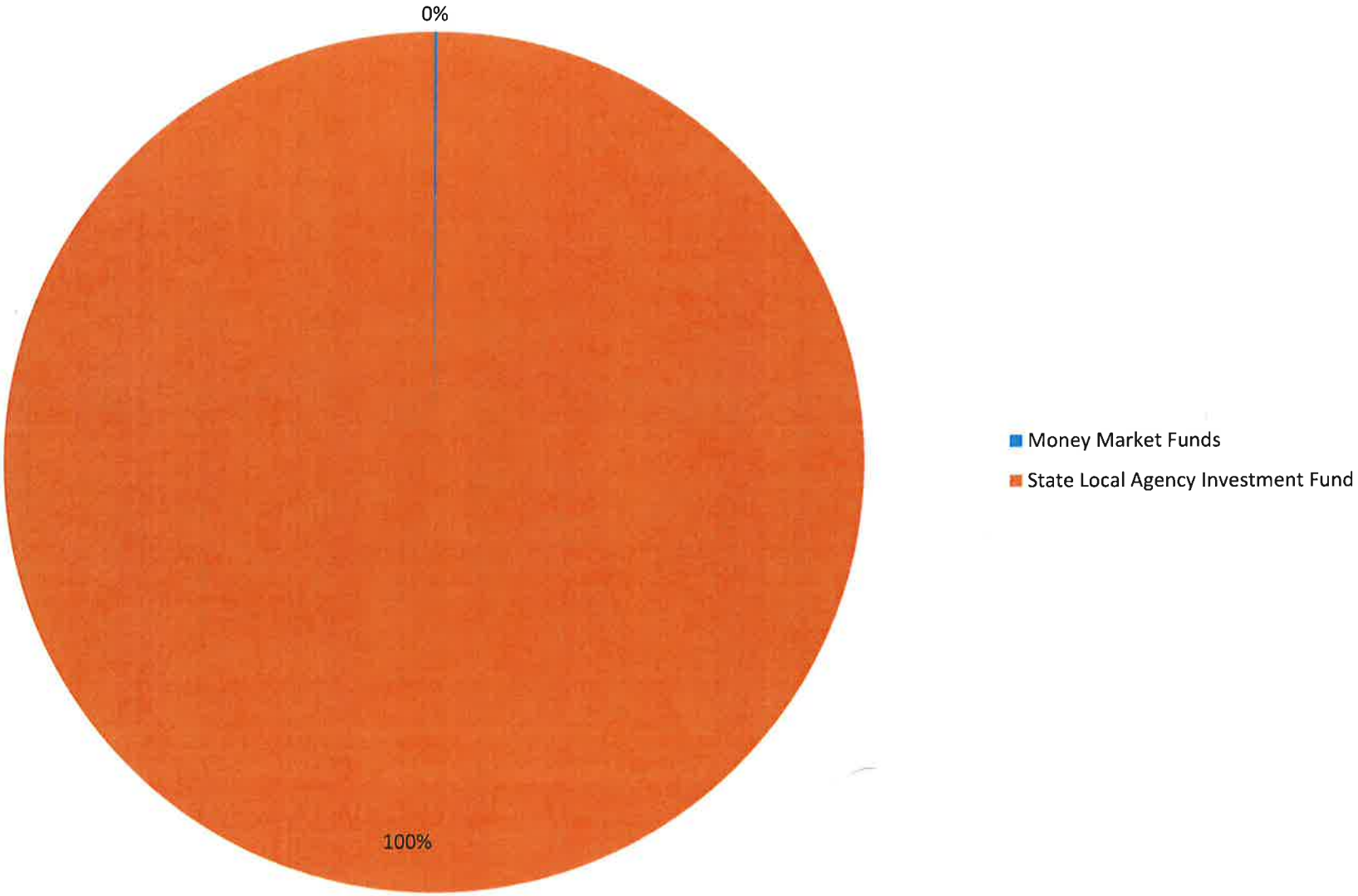
City of Thousand Oaks
2100 Thousand Oaks Blvd.
Thousand Oaks, CA 91362
(805)449-2200

Security Type	Number of Investments	Par Value	Book Value	% of Portfolio	Average YTM 365	Average Days to Maturity
Money Market Funds	6	10,222.10	10,222.10	0.11	0.290	1
State Local Agency Investment Fund	6	9,182,023.68	9,182,023.68	99.89	0.678	1
Total and Average	**12**	**9,192,245.78**	**9,192,245.78**	**100.00**	**0.678**	**1**

Bond Investment Report
Portfolio Management
Portfolio Summary

Book Value by Investment Type

City of Thousand Oaks
2100 Thousand Oaks Blvd.
Thousand Oaks, CA 91362
(805)449-2200



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Finance Department

John F. Adams
Treasurer

Successor Agency Redevelopment Funds
Portfolio Management
Portfolio Summary
November 30, 2016

City of Thousand Oaks
2100 Thousand Oaks Blvd.
Thousand Oaks, CA 91362
(805)449-2200

Investments	Par Value	Market Value	Book Value	% of Portfolio	Term	Days to Maturity	YTM 365 Equiv.
Money Market Funds	837.31	837.31	837.31	0.54	1	1	0.290
Passbook/Checking Accounts	153,123.81	153,123.81	153,123.81	99.46	1	1	0.000
Investments	**153,961.12**	**153,961.12**	**153,961.12**	**100.00%**	**1**	**1**	**0.002**

Total Earnings	November 30 Month Ending	Fiscal Year To Date
Current Year	0.20	1,428.05

Investments have been made in compliance with State Laws governing the investment of City funds and in accordance with the City's Investment Policy. Par value is the value of the investment at maturity and market value is the value provided by Bank of New York Trust Company, N.A.. for: Federal Agency Coupon and Discount Securities, Treasury Coupon and Discount Securities, Municipal Bonds, Supranationals, Bankers' Acceptances, and Discounted Commercial Paper as of the date of this report. The Collateralized Certificates of Deposit are non-negotiable and therefore both the par value and the market value are the value of the investment at maturity. The market values of the State Local Investment Agency, Money Market Funds, and the Ventura County Treasury Pool are based on their monthly statements. The Yield to Maturity reported for Federal Agency Callable Step-Up Coupon Securities is based on the actual coupon(s) as securities may be called prior to all coupon increases.

Based on analysis of cash receipts, expenditures, and daily cash flows, it is projected that revenues and investment liquidity are sufficient to meet expenditure requirements for the next six months.

12/19/16

JOHN F. ADAMS, TREASURER

Reporting period 11/01/2016-11/30/2016
Data Updated: SET_RDA: 12/13/2016 11:04
Run Date: 12/13/2016 - 11:04

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Report Ver. 7.3.6.1

Successor Agency Redevelopment Funds
Portfolio Management
Portfolio Details - Investments
November 30, 2016

CUSIP	Investment #	Issuer	Purchase Date	Par Value	Market Value	Book Value	Stated Rate	S&P	YTM 365	Days to Maturity	Maturity Date
Money Market Funds											
61747C707	50902-BD1SAD	Morgan Stanley Govt. Instl		0.00	0.00	0.00	0.290	AAA	0.290	1	
61747C707	50938-BD1SAI	Morgan Stanley Govt. Instl		837.31	837.31	837.31	0.290	AAA	0.290	1	
61747C707	50939-BD1SAP	Morgan Stanley Govt. Instl		0.00	0.00	0.00	0.290	AAA	0.290	1	
		Subtotal and Average		**837.31**	**837.31**	**837.31**			**0.290**	**1**	
Passbook/Checking Accounts											
SYS50709	50709-RDA	Wells Fargo Bank, N.A.	07/01/2016	153,123.81	153,123.81	153,123.81			0.000	1	
		Subtotal and Average		**153,123.81**	**153,123.81**	**153,123.81**			**0.000**	**1**	
		Total and Average		**153,961.12**	**153,961.12**	**153,961.12**			**0.002**	**1**	

Successor Agency Redevelopment Funds
Portfolio Management
Activity By Type
November 1, 2016 through November 30, 2016

CUSIP	Investment #	Issuer	Beginning Balance	Stated Rate	Transaction Date	Purchases or Deposits	Redemptions or Withdrawals	Ending Balance
Money Market Funds (Monthly Summary)								
61747C707	50938-BD1SAI	Morgan Stanley Govt. Instl		0.290		0.21	0.00	
		Subtotal	**837.10**			**0.21**	**0.00**	**837.31**
Passbook/Checking Accounts (Monthly Summary)								
		Subtotal	**153,123.81**					**153,123.81**
		Total	**153,960.91**			**0.21**	**0.00**	**153,961.12**

Finance Department

John F. Adams
Treasurer

Successor Agency Redevelopment Funds
Summary by Type
November 30, 2016

City of Thousand Oaks
2100 Thousand Oaks Blvd.
Thousand Oaks, CA 91362
(805)449-2200

Security Type	Number of Investments	Par Value	Book Value	% of Portfolio	Average YTM 365	Average Days to Maturity
Money Market Funds	3	837.31	837.31	0.54	0.290	1
Passbook/Checking Accounts	1	153,123.81	153,123.81	99.46	0.000	1
Total and Average	**4**	**153,961.12**	**153,961.12**	**100.00**	**0.002**	**1**

Data Updated: SET_RDA: 12/13/2016 11:04
Run Date: 12/13/2016 - 11:04

Portfolio CTI
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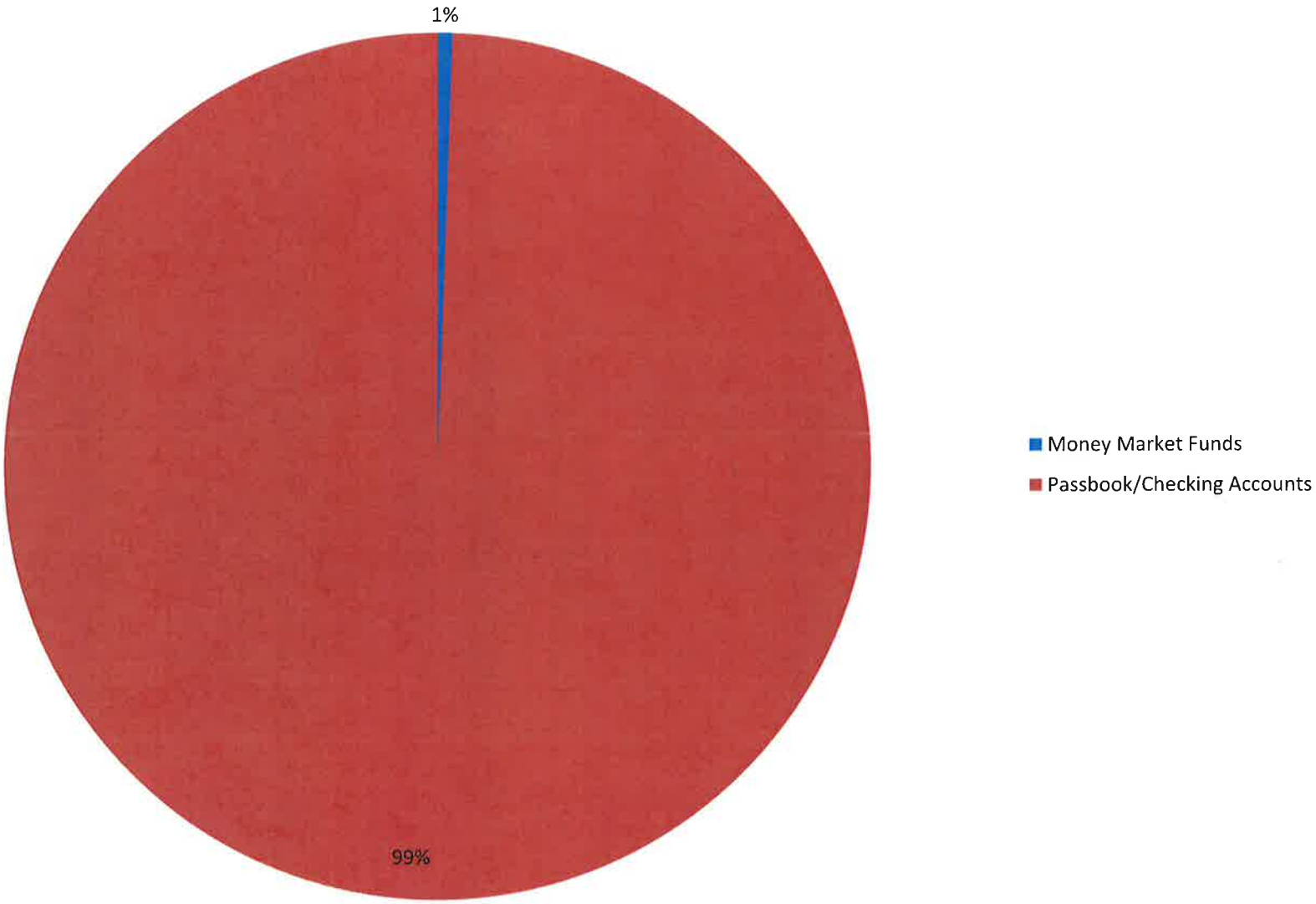
ST (PRF_ST) 7.2.0
Report Ver. 7.3.6.1

Successor Agency Redevelopment Funds
Portfolio Management
Portfolio Summary

Book Value by Investment Type

City of Thousand Oaks
2100 Thousand Oaks Blvd.
Thousand Oaks, CA 91362
(805)449-2200



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Portfolio CTI
CP
PPM Bktypep